

PROTOCOL ERTMS INITIATIVE

Introduction

In the Plan elaboration phase, the ERTMS Programme is open to concrete initiatives and innovative solutions for outstanding issues, e.g. as set out in Railway Map ERTMS version 3.0. This concerns (but the list is deliberately not exhaustive):

- Feasibility Level2 and GSM-R on large sidings;
- Installation/refurbishment of OBUs in rolling stock;
- Realisation of cost savings;
- Installation/replacement in infrastructure.

The process of how the ERTMS Programme will deal with these concrete initiatives is set out below. With this process, legal rights and obligations (e.g. arising from public procurement law and intellectual property law) are safeguarded . Moreover, it assures that similar initiatives will be dealt with in the same manner.

Registering an ERTMSinitiative

An ERTMS initiative can only be registered via email, sent to ERTMSinitiatief@ertms-nl.nl.

Only notifications submitted to this email address will be considered to be registrations of ERTMSinitiatives. This registration is not regarded as an exclusive right to the relevant subject; multiple initiatives are permitted with regard to the same issue.

Every ERTMSinitiative is confidential and will also be treated as such. The ERTMS-initiatives will be stored in a secure section of the documentation system.

Requirements for an ERTMSinitiative

The registration must contain a concrete, business proposal and encompass the following elements:

- the aim and added value of the ERTMS initiative and the problem that it is resolving (as specific as possible);
- a provisional business case outlining the risks, what investments are expected and financing constructions;
- a planning that shows in which phase the ERTMS initiative is at the moment of registration and an insight into the planning for further development thereof;
- what efforts are expected from the ERTMS Programme in relation to the development, investment or intellectual property;
- further justification with examples, results or experiences (from abroad). You may choose your method of justification.

First check ERTMS initiative

After receipt, your registration will be checked to ensure it fulfils the above requirements.

- If not, you will receive an email message asking you to add on to the registration.
- if so, you will receive a confirmation message.

Scan ERTMSinitiative

After the confirmation message, so after the complete registration process, the Programme will endeavour to adhere to a response period of three weeks with respect to feedback about your registration.

- If the ERTMS Programme does not wish to proceed with your ERTMSinitiative, you will be provided with justification for this decision via email.
- If the ERTMS Programme would like to discuss your ERTMSinitiative further, you will be asked via email to provide further information and/or answer questions regarding your registration during a presentation.

Presentation of ERTMS initiative

You will be invited to give a presentation of a maximum of 45 minutes on your ERTMS-initiative. There will also be a further 45 minutes for questions and discussions.

You will be notified in good time about who will be attending the presentation from the ERTMS Programme. A report will then be drawn up of the presentation. The report will be sent to you via email. The report is confidential and will also be stored in the secure section of the ERTMS documentation system.

Decision

Within 8 weeks after the presentation, you will receive a response to your ERTMS initiative from the ERTMS Programme.

- If there is a decision not to proceed, you will be informed via email and provided with corresponding justification for this decision;
- If the decision is to proceed with your initiative, you will be contacted for the finalisation process.

Finalisation

In this phase, it will be established, in consultation with you, how and under which conditions, the ERTMS initiative could be realised within the ERTMS Programme. The agreements that are drawn up as a result must comply with public procurement law and the state support rules.

Interim contact/explanations

If you have interim questions, you may get in touch with your contact person by email only. The name of the contact will be given to you in the confirmation message.

If necessary, the rejection and corresponding reasons for this can be explained during a personal meeting. If, after this meeting, you do not agree with the rejection, you may send your reasoning in an email to the Programme director on ERTMSinitiatief@ertms-nl.nl. The Programme director will carefully review your reasons and provide you with a response as quickly as possible. This correspondence will also be treated confidentially.