

Order of the Minister for Foreign Trade and Development Cooperation of 20 september 2018, nr. Min-BuZa.2018.1624-18, laying down administrative rules and a ceiling for grants awarded under the Ministry of Foreign Affairs Grant Regulations 2006 (Migration and Development 2019-2022)¹

The Minister for Foreign Trade and Development Cooperation,

Having regard to articles 6, 7 and 10 of the Ministry of Foreign Affairs Grants Decree,

Having regard to article 2.5 of the Ministry of Foreign Affairs Grant Regulations 2006,

Order:

Article 1

The administrative rules appended as an annexe to this Order apply to grants awarded under article 2.5 of the Ministry of Foreign Affairs Grant Regulations 2006 with a view to financing activities aimed at promoting the development and implementation of the Minister's policy on migration and development.

Article 2

1. An overall ceiling of €24 million applies to Migration and Development 2019-2022 grants for activities as referred to in article 2.5 of the Ministry of Foreign Affairs Grant Regulations 2006 that are awarded from the time this Order enters into force up to and including 31 December 2022, to be allocated as follows to the different types of activities:

- a) for activities focusing on improving prospects for refugees and vulnerable host communities €20 million;
- b) for activities focusing on migration management: €4 million.

2. Multi-year grants are awarded subject to the condition referred to in section 4:43 of the General Administrative Law Act (AWB) that sufficient funds are made available for them in the applicable budget.

Article 3

1. Applications for Migration and Development 2019-2022 grants must be submitted using the model application form as stipulated by the Minister and accompanied by the documents stipulated in the form.²

2. Applications for Migration and Development 2019-2022 grants should be submitted in one of the following funding rounds:

- a. grant applications for activities focusing on improving prospects for refugees and vulnerable host communities, during the period from the entry into force of this Order until 23:59:59 CET on 16 November 2018;
- b. grant applications for activities focusing on migration management, during the period from the entry into force of this Order until 23:59:59 CET on 7 December 2018;

Article 4

The funds will be allocated in accordance with an assessment based on the criteria set out in the annexe to this Order on the understanding that, of the applications that meet the criteria, those that meet them best will be given priority for a grant, with due regard for the need for an even distribution as referred to in article 8, paragraph 3 (d) of the Ministry of Foreign Affairs Grant Decree.

Article 5

This Order enters into force on the day after the date of the Government Gazette in which it appears and lapses with effect from 1 January 2023, with the proviso that it continues to apply to grants awarded prior to that date.

This Order and its accompanying annexe will be published in the Government Gazette. The appendices to the annexe will be published on the internet.³

¹ 'Besluit van de minister voor Buitenlandse Handel en Ontwikkelingssamenwerking van 20 september 2018, nr. Min-BuZa.2018.1624-18, tot vaststelling van beleidsregels en een subsidieplafond voor subsidiëring op grond van de Subsidieregeling Ministerie van Buitenlandse Zaken 2006 (Migratie en Ontwikkeling 2019-2022), Government Gazette 2019, no. 54481'.

² The application form can be downloaded from <https://www.government.nl/documents/publications/2018/09/28/migration-and-development-grant>

³ <https://www.government.nl/documents/publications/2018/09/28/migration-and-development-grant>

*Reina Buijs
Director-General for International Cooperation
For the Minister for Foreign Trade and Development Cooperation*

MIGRATION AND DEVELOPMENT 2019-2022 GRANT POLICY FRAMEWORK

1. Introduction

This grant policy framework concerns the awarding of grants under the grant policy framework Migration & Development 2019-2022 (hereafter: M&O 2019–2022). This grant policy framework contains the administrative rules for assessing grant applications for activities focusing on improving prospects for refugees and vulnerable host communities⁴, and/or on strengthening migration management in priority countries of origin and priority transit countries. In order to be eligible for a grant, the activities proposed in grant applications must make a contribution to implementing the government policy on Migration & Development, as set out in the Comprehensive Agenda on Migration,⁵ and especially to all or part of the first pillar (preventing irregular migration), the second pillar (improving prospects for refugees and vulnerable host communities) and the fourth pillar (combating illegal residence and stepping up returns). The activities for which a grant is requested must be in line with the policy document of May 2018, 'Investing in Global Prospects' (especially section 2.4).⁶

Chapter 2 of this grant policy framework outlines the financial resources available and the duration of activities. Chapter 3 explains the selection criteria and selection process. Chapter 4 sets out the formal requirements for applications and the procedure. Finally, chapter 5 sets out the applicable threshold criteria and substantive criteria for assessment of Migration and Development 2019-2022 grant applications.

2. Financial resources, resource allocation and timetable

2.1 Available resources

For submission of grants in the context of M&O 2019-2022, a distinction is made between two kind of activities: activities focusing on improving prospects for refugees and vulnerable host communities, and activities focussing on migration management. A maximum of **€4 million** is available for awarding M&O 2019-2022 grants. This grant ceiling is divided into the following sub-ceilings for the two kinds of activities:

- a) **€0 million** for activities focusing on improving prospects for refugees and vulnerable host communities;
- b) **€4 million** for activities focusing on migration management.

In case of a second round of applications, the sub-ceilings that apply to that round will be announced through a relevant decision in the Government Gazette. This announcement will also disclose the period within which applications for the second round of application must be submitted. The policy rules for M&O 2019-2022 may be modified in the second funding round on the basis of experience in the first round, specifically the criteria concerning (sub)themes and countries. In that case, these modifications will be announced by relevant decision in the Government Gazette.

2.2 Allocation of available resources

The applications will be assessed, and the available resources will be granted and allocated via a tender: the assessment of the quality of all applications that fulfil the criteria of these administrative rules will be based on the same criteria. The applications will be rated on the basis of the outcomes of this assessment. Those applications that best fulfil these criteria are the most eligible for a grant. Therefore, the allocation of the available resources will be decided on the basis of the quality of the applications submitted, as assessed according to the criteria laid down in these policy rules. The Minister will aim for an even distribution of resources among target countries⁷ and among the (sub)themes mentioned in paragraph 5.2, criterion D.7. Furthermore, in order to be eligible for the grant, the quality of the application has to be sufficient.

2.3 Duration of activities

Activities must be carried out between 1 January 2019 and 30 June 2023 and have a duration of 24 to 48 months. Activities must start no later than 1 January 2020.

⁴ The term 'host community' refers to vulnerable local people in need for help.

⁵ Letter to the House of Representatives of 29 March 2018, Parliamentary Papers 19 637, no. 2375.

⁶ Letter to the House of Representatives of 29 March 2018, Parliamentary Papers, 34 952, no. 1.

⁷ Target country: the country where the activity/activities for which a grant is requested is/are carried out.

3. Selection criteria and selection process: main points

3.1 For whom are grants for improving prospects for refugees and vulnerable host communities and for migration management intended?

Grants for improving prospects for refugees and vulnerable host communities and for migration management are intended to be used for activities by non-governmental organisations, businesses and/or knowledge institutions, regarding the latter only as far as they do not qualify as non-governmental organisation as referred to in section 5.1, criterion D.1.

In order to be eligible for a M&O 2019-2022 grant applicant organisations must have a satisfactory track record in implementing comparable activities in the target fields, as described in greater detail in section 5.4.

Organisations may submit grant applications independently or through a consortium, as defined in section 5.1, criterion D.3. In case of a consortium, the application is submitted by a lead party on behalf of the consortium. If the application is approved, the lead party is responsible for implementation of the consortium's activities and for compliance with the obligations as set out in the grant decision.

Applicants may form a partnership and cooperate with other not-for-profit organisations, local government organisations or for-profit businesses to *implement* parts or all of the activities. Such partnerships or cooperations are not consortiums in the sense referred to above, but partnerships with local organisations, for instance, which implement certain parts of the activities at local level.

3.2 Submission periods

The submission deadlines for the Migration and Development 2019-2022 grant application are as follows:

- For activities focussing on improving prospects for refugees and vulnerable host communities: from the date of entry into force of the M&O 2019-2022 Grants Order until 23:59:59 CET on 16 November 2018.
- For activities focussing on migration management: from the date of entry into force of the M&O 2019-2022 Grants Order until 23:59:59 CET on 7 December 2018.

3.3 Selection process

The General Administrative Law Act, the Ministry of Foreign Affairs Grants Decree, and the Ministry of Foreign Affairs Grant Regulations 2006 apply to the awarding of grants under the grant policy framework Migration and Development 2019-2022. Moreover, the administrative rules set out in this grant policy framework apply to the assessment of the grant applications and the allocation of the available resources.

Applications for M&O 2019-2022 grants will be assessed in two stages:

1. Threshold check, quality of the track record and quality of the concept note;
2. Organisational capacity and quality of the full proposal.

Stage 1:

Improving prospects for refugees and vulnerable host communities: submission deadline for the threshold check, track record and concept note: 16 November 2018 – decision at the latest on 21 December 2018

Migration management: submission deadline for the threshold check, track record and concept note: 7 December 2018 - decision at the latest on 18 January 2019

In stage 1, applications will be assessed on the basis of the threshold criteria set out in par. 5.1 and par. 5.2. Applications that do not satisfy all of the threshold criteria will not be considered further.

Subsequently, applications that have passed the threshold check will be assessed for the quality of their concept note and track record. The criteria for the assessment of the quality of the concept note are set out in par. 5.3, the criteria for the quality of the track record in par. 5.4. This assessment and the available resources, in combination with an effort to ensure an even distribution of activities over (sub)themes and countries, will serve as a basis for selecting a number of applications and inviting their applicant organisations to submit full proposals in stage 2. In order to be eligible for selection, the quality of the concept note and the track record must at least be sufficient (which means that they sufficiently satisfy the criteria mentioned above).

Applications that have not been selected will not be considered further. Applicants whose application concerns improving prospects for refugees and vulnerable host communities will be notified of this outcome on 21 December at the latest. Applicants who have been selected will be informed on 21 December at the latest that they may submit a full proposal. Applicants whose application concerns migration management will be notified of rejection on 18 January 2019 at the latest. Applicants who have been selected will be informed on 18 January 2019 at the latest that they may

submit a full proposal.

Stage 2:

Improving prospects for refugees and vulnerable host communities: deadline for the submission of a full proposal: 18 January 2019 – decision at the latest on 22 February 2019

Migration management: deadline for the submission of a full proposal: 15 February 2019 – decision at the latest on 15 March 2019

In stage 2, the selected applicants will be invited to submit a full proposal. With the invitation, the Ministry will send a supplementary application template for this purpose, which must be used by the applicant when submitting the full proposal. An indication of a maximum grant amount is included in the invitation to submit a complete proposals. The indication is based on the number of selected applicants, the requested grant amounts, the quality of the track record and the concept note, the available resources, and the balanced dissemination of the available resources over the target countries and (sub)themes.

The Ministry must have received the full proposals for improving prospects for refugees and host communities on 18 January 2019 23:59 CET at the latest. Full proposals for migration management must be received on 15 February 2019 23:59 CET at the latest. In case the full proposal is not received in time, the application will not be considered further.

Before assessing the quality of the full proposals which are received in time, based on article 4, paragraph 1, of the Ministry of Foreign Affairs Grant Decree, it is first assessed whether the applicant/lead party is in a position to ensure adequate financial management and guarantee an effective and efficient implementation of the activities through experience and expertise in relation to activities of the kind for which the grant is being sought. Applicants/lead parties that possess a positively evaluated Checklist on Organisational Capacity Assessment (COCA) which is maximally four years old (reference date 1 July 2018), or a PARTOS ISO 9001 certificate, or a valid Framework Partnership Agreement (FPA) with the EU's DG ECHO are considered to satisfy this criterion. In other cases, the organisational capacity of the applicant/lead party is assessed through the COCA format (which, like the template for the full proposal, will be sent with the invitation to submit a full proposal) which has to be handed in by the applicant/lead party together with the full proposal. Applications submitted by organisations which do not fulfil article 4, paragraph 1, of the Ministry of Foreign Affairs Grant Decree will be rejected and not further considered.

Next, the quality of the timely received full proposals of the applicants/lead parties which fulfil article 4, paragraph 1, of the Ministry of Foreign Affairs Grant Decree, will be assessed. This is done on the basis of the criteria set out in par. 5.3. The full proposal should be of sufficient quality – that is, should sufficiently satisfy the quality criteria for full proposals – to be eligible for a M&O 2019-2022 grant. Based on the full proposal, the grant amount eventually awarded might turn out lower than the indication of the maximum grant included in the invitation to submit a full proposal (upward adjustments are not possible).

In view of the ranking of the applications on the basis of the assessment in accordance with the criteria set out in par. 5.3, the final distribution of the available resources is established. The applications that best fulfil these criteria are the most eligible for a grant. The minister decides upon the awarding of the grants in accordance with this ranking, within the framework of article 8, paragraph 3 d of the Ministry of Foreign Affairs Grant Decree.

If the available resources are insufficient to fully accommodate all applications assessed as sufficient, then those applications that (in accordance with the ranking referred to above) best fulfil the criteria, are rewarded first until the available resources are exhausted. The balanced dissemination of the available resources over the target countries and (sub)themes is taken into account.

For timely submitted full proposals for improving prospects for refugees and host communities a decision will be communicated on 22 February 2019 at the latest. For timely submitted full proposals for migration management a decision will be communicated on 15 March 2019 at the latest.

3.4 Requirements after the awarding of grants

To be eligible for a grant, the organisation must report in line with the IATI standards. For more information, reference is made to the MFA publication-guidelines titled 'How to use the IATI standard'.⁸ Organisations that are not yet able to report in line with IATI will, within a certain period which is set in the grant decision, get the opportunity to facilitate the reporting in line with IATI standards.

⁸ <https://www.government.nl/documents/publications/2015/12/01/open-data-and-development-cooperation>

4. Formal requirements for applications

To be eligible for a M&O 2019-2022 grant, applications must meet the formal requirements given below, with due regard for section 4:5 of the General Administrative Law Act.

- 4.1 An application for a subsidy must concern one set of activities, wherefore 1 subsidy is requested. If an applicant wishes to apply a subsidy for more than one set of activities, each application must be submitted separately. If an applicant, in a single application, applies for more than one subsidy, the application will be returned and will have to be resubmitted separately. In that case, the time at which the complete, separate applications are received will be considered the time of receipt.
- 4.2 Applications must be submitted using the application form designated for this purpose, which will be published at <https://www.government.nl/documents/publications/2018/09/28/migration-and-development-grant>. Applications may not exceed the maximum number of pages stipulated on the application form. Applications exceeding the maximum number of pages will not be considered.
- 4.3 A track record and a concept note must be appended to the application form. Both must be drafted using the templates provided (annexes 1 and 2 to the form).
- 4.4 The concept note must not exceed 2,500 words; longer concept notes will not be processed, and any appendices to the concept note that were not required will be disregarded.
- 4.5 The grant amount requested in the concept note must be given in euros.
- 4.6 In the concept note, the applicant should specify the grant amount requested, divided by outcome and by year. If part of the costs for implementing the activities are financed from other sources than the grant requested, it must be shown how the availability of these sources is guaranteed.
- 4.7 Applications should preferably be submitted by e-mail. Applications by e-mail should be submitted in PDF format and sent to the e-mail address DSH-BU@minbuza.nl, with 'Migration and Development 2019-2022 grant application from [applicant's name]' in the subject line.
- 4.8 For the submission deadline of the application, see par. 3.2. The time when the email is *received* on the servers of the Ministry of Foreign Affairs will be considered the time of submission. Please note that the e-mail server will reject files larger than 10MB. Larger files should be split up into smaller files and sent in separate emails. In this case, the time at which the last email is received will be considered the time the application is submitted.

If an application is split into several files which are each sent separately by email, the emails must be numbered, with both the e-mail number and the total number of e-mails clearly indicated in the subject line.

Any technical issues relating to electronic submission are at the applicant's own cost and risk.

- 4.9 Postal applications should be sent to

Ministry of Foreign Affairs
attn. Stabilisation and Humanitarian Aid Department, Policy Implementation Division
Application under M&O 2019-2022
Postbus 20061
2500 EB Den Haag
The Netherlands

If you wish to deliver your application personally or by courier, it may be handed in at the Ministry of Foreign Affairs, Rijnstraat 8, The Hague.

The time at which the complete application is *received* by the Ministry of Foreign Affairs will be considered the time of submission.

If an application is sent by standard post instead of registered post, the sender bears the risk of it being received late by the Ministry or not at all. Applications sent by post (apart from those using a 'postage paid' envelope) and postmarked on a date before the application deadline are considered to have been submitted on time, provided they are received no later than one week after the deadline for applications has passed. Applications sent by post using a 'postage paid' envelope are considered to have been submitted on time if they are received by the Ministry no later than the times stated above. An item is not considered received until it has been recorded by the Ministry's mail department. Incoming mail is not recorded in the evenings or at weekends.

- 4.10 Applications should be complete and without reservations, and duly signed by an officially authorised signatory (state name and position) of the applicant organisation.
- 4.11 It is not possible to submit a provisional application. This applies to both applications and the supplementing of

applications with the full proposal.

- 4.12 Applications should be submitted in English. A Dutch or English translation should be added to annexes written in a language other than Dutch or English. Additional informative or illustrative documents, CD-ROMs, USB sticks or DVDs of an organisation will not be taken into consideration in the assessment of an application.
- 4.13 With regard to the application procedure, particular attention is drawn to article 7, paragraph 3, of the Ministry of Foreign Affairs Grants Decree. If an incomplete application is submitted, the Minister may request a supplement. In this case, the date and time of receipt of the application will be the date and time on which the supplement was received. Incomplete applications or applications containing insufficiently reasoned answers may be rejected on the basis of the threshold or substantive criteria.
- 4.14 Particular attention is drawn as well to article 9 of the Ministry of Foreign Affairs Grants Decree. Applications concerning activities that have already been started at the time that the grant application is submitted will be rejected.
- 4.15 Questions concerning this document or any other matters can only be addressed to the e-mail address given above.

5. Selection criteria

5.1. Threshold criteria concerning the applicant (D.1 to D.5)

Criterion D.1 – Legal status

The applicant (or in the case of a consortium: the lead party and each of the co-applicants) must be a non-governmental organisation, a business or a knowledge institution which does not qualify as a non-governmental organisation. Both lead party and co-applicants possess legal personality. A non-governmental organisation is defined as: a not-for-profit organisation, not established by a government body, which is a legal person under civil law. For the purposes of these administrative rules, organisations linked to a government body by their constitution or in practice may be considered non-governmental organisations.

Criterion D.2 – Physical presence

The applicant (or in the case of a consortium: the lead party and/or one of the other co-applicants) must have an office and employees (local and/or international) in the country where the activities will be carried out. This criterion is not applicable to applications that focus on migration management nor to applications focussing on activities in Iraq, as far as it concerns activities in Iraq.

Criterion D.3 – Consortium

If an application is submitted on behalf of a consortium, a partnership agreement must be appended to the application, signed by all the partners (the lead party and each of the other co-applicants), which must in any case include agreements concerning:

- a. how each of the parties will contribute to the consortium's activities;
- b. how decisions are made within the consortium;
- c. how costs and risks are shared among the partners;
- d. how the fulfilment of obligations towards the Minister in respect of the grant will be ensured, including responsibility for the joint aggregated reports.

Criterion D.4 - (Combined) Experience of the (participating) organisation(s)

In case of applications focusing on improving prospects for refugees and host communities, the applicant/consortium must have had at least two years' experience of implementing activities in the target country on the theme or sub-theme for which the grant is being requested, in the period 2013-2018. On these activities a total budget of at least €2 million was spent.

In case of applications focusing on migration management, the applicant/consortium must have had at least two years' experience of implementing activities on the theme or sub-theme for which the grant is being requested, in the period 2013-2018. On these activities a total budget of at least €500.000 million was spent.

Criterion D.5 – Inappropriate behaviour

The lead party must demonstrate that it and its partners have adopted an integrity policy and introduced procedures to apply that policy so as to prevent as much as possible any sexual misconduct and other serious forms of inappropriate behaviour towards employees and other individuals during the implementation of the proposed activities by the lead party, its co-applicants and other parties they involve in the activities, and in the event of such incidents, to investigate and take appropriate measures to put an end as promptly as possible to the misconduct or inappropriate behaviour and mitigate the consequences. These procedures must be structured in a way that guarantees prompt reporting of any such incidents to the Minister.

5.2. Threshold criteria concerning the activity (D.6 to D.12)

Criterion D.6 – Country criterion

The application must focus on activities to be implemented in one or more of the countries listed below. Activities in countries that are not listed below are not eligible for a M&O 2019-2022 grant.

a) Improving prospects for refugees and vulnerable host communities:

- Egypt
- Ethiopia
- Jordan
- Kenya
- Lebanon
- Uganda
- Turkey
- Iraq
- Sudan

b) Migration management:

- Afghanistan
- Algeria
- Egypt
- Ethiopia
- Iraq
- Mali
- Morocco
- Niger
- Nigeria
- Sudan
- Tunisia

Criterion D.7 – Thematic requirements

a. The application must focus either on improving prospects for refugees and vulnerable host communities or on migration management, and in either case must be in accordance with the policy set out in the Comprehensive Agenda on Migration⁹ and in the policy document 'Investing in Global Prospects' of May 2018 (paragraph 2.4).¹⁰ Applications that focus on tackling – in the countries of origin – the root causes of irregular migration and/or on helping to alleviate refugees' humanitarian distress are not eligible for a grant under M&O 2019-2022.

b. Applications focussing on improving prospects for refugees and vulnerable host communities must focus on at least one of the following three themes and on one or several of the accompanying sub-themes:

- I. Better protection and a stronger legal position for refugees.
 - a. Working towards a legal position for refugees that is roughly equivalent to that of the host country's nationals, including access to local services and to the labour market.
 - b. Preventing and combating sexual and other violence, abuse, exploitation, 'survival sex', child labour and child marriage, with a special focus on vulnerable groups such as children, women and people with disabilities.

⁹ Letter to the House of Representatives of 29 March 2018, Parliamentary Papers 19 637, no. 2375.

¹⁰ Letter to the House of Representatives of 29 March 2018, Parliamentary Papers, 34 952, no. 1.

- c. Improving protection, reception and care for vulnerable groups and victims of violence and exploitation through: the provision of psychosocial support; the provision of special reception and other facilities for vulnerable groups (such as victims of serious abuse, people with disabilities and unaccompanied minor refugees); and enhanced safety (for instance through community policing).
- II. Education and integrated provision of other services for refugees and host communities.
- a. Extension and improvement of education and other local services (for example education) and basic infrastructure (for example water mains).
 - b. Cooperation with and capacity building of local authorities and (private and public) institutions to enable them to integrate refugees into their development planning and service provision, including education and public safety..
- III. Promotion of economic development and work opportunities¹¹ that demonstrably benefits refugees.
- a. Support for development of promising economic sectors, thus increasing the possibility for income generating activities to emerge, which improves the self-reliance of refugees and of vulnerable host communities.
 - b. Stimulating economic activities in order to foster self-reliance: Amongst others through provision of training/coaching for refugees and vulnerable host communities for business start-ups and for expansion of successful businesses.
 - c. Provision of high-quality vocational training or professional education to bridge the gap between supply and demand on the labour market. Vocational training and (professional) education programmes should demonstrably respond to opportunities in local, regional or international markets that are also accessible for refugees.
 - d. Activities to match supply and demand on the labour market by setting up recruitment services or the promotion of employment finding, and offering coaching and training to refugees and vulnerable host communities that are far from the labour market.
- c. The following thematic threshold conditions apply to applications focussing on improving prospects for refugees and vulnerable host communities.

Country	Activities must focus on one or more of the following (sub-)themes	The activities concern:
Jordan	I c II b III a/b/c/d	* Economic participation by women, young people and people in vulnerable positions. * Financial inclusion of refugees. * Innovation in entrepreneurship, exclusively directed at the SME sector.
Lebanon	I a/b/c II a III c	
Turkey	III d	
Iraq	I b/c II a III a/c	The activities should be directed at IDP's and/or refugees.
Egypt	I a/b/c	Promoting protection, input and social cohesion, in close collaboration with local authorities and with attention to psychosocial support.
Uganda	I b/c II a	* Promoting protection, input and social cohesion, in close collaboration with local authorities and with attention to psychosocial support. * Building capacity of local authorities.

¹¹ Work opportunities include a scale of income-generating activities (employment, own businesses, etc.).

Kenya	III c/d	Focus on online freelance activities.
Ethiopia	I c II a III b	Enhancing cooperation with investors in order to improve the access to work of vulnerable people.
Sudan	III a/c/d	Enhancing cooperation with investors in order to improve the access to work of vulnerable people, enhancing the capacity of (agro-)entrepreneurs.

d. Applications focussing on migration management must focus on at least one of the following three themes:

- I. Public information campaigns targeting potential irregular migrants in their countries of origin that provide objective information about the risks associated with irregular migration, both during the journey and after arrival in the destination region or country, and about legal alternatives in their home countries or other countries.

Applications should take account of the most recent insights on the effectiveness of campaigns of this type (as described in e.g. the Research and Documentation Centre (WODC) study 'Raising Awareness, Changing Behavior?' ([link](#)) and the European Commission Call for Proposals document AMIF-2017-AG-INFO). Proposed activities may include research and the accumulation of knowledge.
- II. Organising of public information campaigns in cooperation with members of the diaspora in Europe, and/or with (irregular) migrants returning from Europe, aimed at giving potential irregular migrants in their countries of origin a realistic and credible picture of the journeys required for irregular migration and of the situation of irregular migrants after their arrival in their destination region or country.
- III. Prevention and combating of human trafficking of (irregular) migrants and support for victims of human trafficking in countries of origin, in the form of legal assistance, shelter and/or psychosocial support.

Criterion D.8 – Size of the grant

The grant amount requested should be:

- an annual average of no less than €500,000 and no more than €2 million for improving prospects for refugees and vulnerable host communities
- an annual average of no less than €500,000 and no more than €1 million for migration management

Criterion D.9 – Duration of the activities

The activities for which a grant is requested should have a minimum duration of 24 months and a maximum duration of 48 months.

Criterion D.10 – Start and end date of the activities

The activities must start no earlier than 1 January 2019 and no later than 1 January 2020, and end no later than 30 June 2023.

Criterion D.11 – Overhead costs

No more than 8% of the budget may consist of overhead costs in the case of an application by a single organisation, and no more than 9% in the case of an application on behalf of a consortium (including an additional 1% for the lead party). Please see annex ii for more information about the overhead costs.

Criterion D.12 – Activities not eligible for a grant

The application must not relate to:

- initiatives aimed entirely or partly at proselytism;
- (funding of) commercial services, investments or commercial activities;
- activities which already receive grant funding or a contribution directly from the Ministry of Foreign Affairs budget;
- activities of organisations already receiving core funding from the Ministry of Foreign Affairs budget during the period to which the tender for which an application is submitted relates.

5.3. Substantial criteria concerning the quality of the application (I.1 to I.5)

The quality of the applications is assessed on the basis of the following substantive criteria:

Criterion I.1 – Alignment with Dutch policy on migration and development

I.1.a The extent to which the activities are in line with the parameters of the Netherlands' policy, as set out in the Comprehensive Agenda on Migration (Parliamentary Paper 19 637, no. 2375) and the foreign trade and development cooperation policy document 'Investing in Global Prospects' (May 2018).

I.1.b The extent to which the activities contribute to (sub)themes chosen by the applicant which are set out in the threshold criterion D.7.

Criterion I.2 – Theme-specific policy relevance

I.2.a Improving prospects for refugees and vulnerable host communities

Concept note:

- a) The extent to which the activities complement and are aligned with existing activities, and the extent of the coordination and complementarity sought with relevant actors (other donors, international organisations, local authorities, local NGOs, the private sector, etc.).
- b) The extent to which priority is given to the activities by the local government and other local stakeholders.
- c) The extent to which the activities align with the development plans of the local government, and with international crisis response plans for the country and/or the region.
- d) The extent of collaboration with other actors (such as the local government and private sector) and of the activities' contribution to capacity building of local structures.
- e) The extent to which the activities pay specific attention to promoting gender equality
- f) The ways in and the extent to which the results benefit both refugees and host communities.
- g) The extent to which the activities specifically pay attention to young people.

Full proposal:

- h) The extent to which the activities align with the UN Global Compact on Refugees and the UN Global Compact for Migration (2018), and the extent to which the activities support the target countries in applying these Compacts.
- i) The extent to which innovative initiatives are included in the activities that offer a significant likelihood of scaling the activities up later with the help of for example EU funds or other resources.
- j) The nature and scale of the intended effects in proportion to the size of the target group.
- k) The quality of the gender analysis.
- l) The extent to which the activities show a conflict-sensitive approach.
- m) The extent to which the application entails adequate risk management, which includes an adequate risk analysis and an adequate system for monitoring and adjusting. Furthermore, if applicable, the extent to which the necessary means that are complimentary to the requested grant and needed in order to execute the activities in question, are warranted.
- n) The extent to which the activities are based on existing information about, and study of the target group, and are tailored to take account of this target group's specific characteristics.

I.2.b. Migration management

Concept note:

- a) The extent to which the activities complement and are aligned with existing activities, and the extent of the coordination and complementarity sought with relevant actors (other donors, international organisations, local authorities, local NGOs, the private sector, etc.).
- b) The extent to which the activities pay specific attention to promoting gender equality.
- c) With regard to (sub)themes I and II under criterion D.7 sub d: the extent to which the activities are aimed not only at raising awareness among potential irregular migrants, but also at behavioural change, for example by using tried and tested methods and insights from other policy areas or scientific disciplines.

Full proposal:

- d) The extent to which the activities align with the UN Global Compact on Refugees and the UN Global Compact for Migration (2018), and the extent to which the activities support the target countries in applying these Compacts.
- e) The extent to which innovative initiatives are included in the activities that offer a significant likelihood of scaling the activities up later with the help of for example EU funds or other resources.
- f) The nature and scale of the intended effects in proportion to the size of the target group.
- g) The quality of the gender analysis.
- h) The extent to which the activities show a conflict-sensitive approach.
- i) The extent to which the application entails adequate risk management, which includes an adequate risk analysis and an adequate system for monitoring and adjusting. Furthermore, if applicable, the extent to which the necessary means that are complimentary to the requested grant and needed in order to execute the activities in question, are warranted.
- j) The extent to which the activities are based on existing information about, and study of the target group, and are tailored to take account of this target group's specific characteristics.

Criterion I.3 – Sustainability

Full proposal:

The extent to which the activities are sustainable in the context of Migration and Development: they have a lasting effect on the ultimate target group and/or contribute to sustainable institutional strengthening and capacity building of public and semi-public institutions and other local structures.

Criterion I.4 – PM&E system

The adequacy of the Planning, Monitoring & Evaluation system used for monitoring progress and taking corrective action in terms of resources, activities, outputs, outcomes and the underlying assumptions.

The assessment will specifically examine:

Concept note:

- Intended outcomes:
 - methodological consistency;
 - criteria for success.
- Intended outputs:
 - methodological consistency;
 - criteria for success.
- Assumptions: assessment of whether the links presupposed between the outputs and outcomes are sufficiently realistic.
- The extent to which the outcomes and outputs are linked to, or form an added value to the M&O results framework (see annex i).

Full proposal:

- Intended outcomes:
 - indicators;
 - targets and baselines.
- Intended outputs:
 - indicators;
 - targets and baselines.

Criterion I.5 – Budget and proportionality

Concept note:

The clarity and realism of the connection the application makes between the activities to be implemented, the resources necessary to do so, and the outputs and outcomes to be achieved.

5.4. The applicants/consortium partners' track record (T.1 to T.4)

The applicant, or, in case of a consortium, the lead party and the co-applicants together, is/are expected to have sufficient relevant experience in the target countries and in carrying out activities in the field related to migration and development. This will be assessed using the following criteria:

Criterion T.1 – Number of years' experience in the target country

The number of years during the period 2013-2018 that the applicant/consortium partners was/were active in the target country.

Criterion T.2 – Scope of the activity portfolio in the target country

The total extent (measured in euros spent) of the activities that the applicant/consortium partners has/have carried out in the country concerned.

Criterion T.3 – Experience in the target country with the (sub-)themes for which a grant is being requested

The total extent (measured in euros spent) of the activities during the period 2013-2018 on the improving prospects for refugees and vulnerable host communities or migration management (sub-)theme for which a grant is being requested.

Criterion T.4 – Collaboration with local actors¹²

The percentage of the funds spent under criteria T.2 and T.3 during the period 2013-2018 that was channelled through local actors. In calculating this percentage, national offices that are affiliated with internationally active umbrella organisations but are independent legal persons are *not* considered local actors.

¹² This criterion is not applicable if the application is submitted by a local organisation who functions as (independent) applicant.