

Answers to questions that were received before 8 November 2018.

Important: Please take note of the change of the track record criteria T.1-T.4. For further information please consider the amending act: <https://www.government.nl/documents/publications/2018/09/28/migration-and-development-grant>

THRESHOLD CRITERIA CONCERNING THE APPLICANT

Q: Criterion D.4 states that “in case of applications focusing on improving prospects for refugees and host communities, the applicant/consortium must have had at least two years’ experience of implementing activities in the target country on the theme or sub-theme for which the grant is being requested, in the period 2013-2018. On these activities a total budget of at least EUR 2 million was spent.” Our organization currently has 1 year and 10 months of experience conducting activities in the target country for a total budget of more than EUR 1 million but less than EUR 2 million. Could you confirm whether this is a disqualifying factor under the Migration and Development 2019-2022 Grant Policy Framework, or whether there is some flexibility with regard to this criterion if the rest of the application is strong?

A: Unfortunately organisations that do not fulfil the threshold criteria (as stated under paragraph 5.1) are not eligible for funding under the Migration and Development 2019-2022 Grant Policy Framework, disregarding the overall quality of their application.

HOW

Q: Could the Government of the Netherlands please clarify what should be included as the organisation’s “annual account”? Is an annual account different from an annual report?

A: An annual report contains an overview of all of the company’s activities throughout the preceding year. It does not necessarily include an annual financial statements approved by an external auditor. In such cases the audited annual financial statement should be attached separately together with the annual report.

Q: What outcomes and outputs relate to which (sub-)thematic requirements?

A: With regard to activities focussing on improving prospects of refugees and vulnerable host communities, thematic requirement I relates to outcomes and outputs under the pillar “Legal Position and Protection;” thematic requirement II relates to outcomes and outputs under the pillar “Education and Integrated Service Delivery;” thematic requirement III relates to outcomes and outputs under the pillar “Economic Development and Decent Work.” Specific sub-themes are not directly relate to specific outcomes. Thematic requirements are broader themes that should be addressed by proposed activities. Proposed activities produce certain outputs which again help to achieve certain outcomes.

Q: With regarding the results framework, must our organisation use the exact wording and the same indicators provided in annex i? Can our organisation include own indicators and activities under each outcome, or can we only use the indicators as specified in annex i?

A: Outcomes and outputs must be specified per activity. Outputs are results in the sphere of control of an activity, while outcomes are in the sphere of influence of the activity but affected by other factors as well. The outcomes and outputs of the organisation do not have to use the same wording as indicated in the results framework (annex i) as long as they clearly contribute to the goals that are described in the results framework. With regard to the indicators,

however, the exact wording as used in annex I must be used by the applicant organisation. The addition of indicators by the applicant is allowed as long as the proposed activities where the indicators are referring to, are explicitly related to the outcomes and outputs as set out in the results framework (annex i).

Q: Is it allowed to attach our organisation's own logframe as an Annex to the concept note? Is this acceptable? We hope this will satisfy the need to indicate how the proposed outcomes and outputs connect to the indicators from the Results Framework on the Ministry – or this is a more written explanation you are looking for?

A: Yes, the applicant's logframe should be attached as an annex to the concept note. As stated in the template of the concept note form (under 2.3.1 "Description") it must be indicated how the proposed outcomes and outputs connect to the indicators from the Result Framework on Migration and Development of the Ministry of Foreign Affairs of The Netherlands. This information must be provided as an annex of maximum one page to the concept note. It is not allowed to attach annexes to the concept note that have not been explicitly requested; if annexes not requested are submitted, they will not be considered.

Q: Do footnotes have to be included in the word limit?

A: Footnotes must be included in the word limit of the concept note.

Q: Are other than requested annexes allowed?

A: No additional annexes are allowed, and if submitted anyway, they will not be considered.

Q: Based on the Ministry's requirements, the applicants must annex several documents that may have a size of more than 50 MB. This means that the application has to be handed in by sending several emails. Are applicants allowed to send the annexes to the application via an online system?

A: Applications must be submitted via email, or as postal application. For further information on postal application, please see the Migration and Development 2019-2022 Grant Policy Framework, paragraph 4.9. For further information on submitting applications via email, please see the Migration and Development 2019-2022 Grant Policy Framework, paragraph 4.7 and 4.8

If an organisation wants to submit several applications via email, and each application consist of several emails, clarify that in the subject line in the following manner: "Migration and Development 2019-2022 grant application from [applicant's name], [number of application], [number of email], [total number of email]."

Q: Is the applicant allowed to include figures, charts, or graphs in the concept note? If so, how should they be included in the word limit?

A: The applicant is allowed to include figures, graphs and charts in the concept note. In case those figures, graphs and charts include text, they have to be included in the word limit.

COLLABORATION

Q: Are formal agreements between the applicant and partners in the context of non-consortium partnerships (as described in the Migration and Development 2019-2022 Grant Policy Framework, article 3.1) required in the first stage of the application?

A: No proof of such a formal agreement needs to be provided to the Ministry of Foreign Affairs in phase 1.

Q: Our organisation would like to form a partnership with a local organisation in order for them to partly implement the proposed activities. Is it possible to transfer part of the money in order for a partner-organisation

to implement part of the activities? Or is such a transaction only allowed within a consortium? What is the difference between forming a consortium with a co-applicant and forming a partnership with an implementing partner?

A: A transaction as described above is possible. When applicants form a partnership with implementing organisations, the legal relation between applicant and implementing organisation is not affected by the grant regulations and policy rules of the Dutch Ministry of Foreign Affairs. Besides, the implementing organisation does not have to fulfil the criteria as set out in the Migration and Development 2019-2022 Grant Policy Framework. When an application is submitted by a consortium, each consortium member has to fulfil criterion D.1 and D.5. Consortium partners can complement each other in fulfilling criteria D.2, D.4 and criteria concerning the quality of the track record (T1, T2, and T3). The consortium partners together have to fulfil criterion D.3.

Q: Our organisation is planning to implement the proposed activities together with locally registered organisations. Is it necessary to form a consortium with these organisations functioning as co-applicants in order to cooperate with them? Do we have to provide documents such as the local organisations' organisational constitution and annual accounts? What can we do if the local organisations don't have such documents?

A: It is not necessary to form a consortium with implementing organisations. As stated under chapter 3, paragraph 3.1 in the Migration and Development 2019-2022 Grant Policy Framework, "applicants may form a partnership and cooperate with other not-for-profit organisations, local government organisations or for-profit businesses to implement parts or all of the activities. Such partnerships or cooperations are not consortiums in the sense referred to above, but partnerships with local organisations, for instance, which implement certain parts of the activities at local level." In case a consortium is formed, then the organisations' constitutions must be provided for all co-applicant organisations. Annual accounts of these organisations only have to be provided in order to complete the track record check.

Q: In case our organisation forms a partnership with a local implementing partner, do we need to include annex D and E for the local implementing partner, and do they need to sign the partnership agreement ?

A: No. Annex D and E must only be provided for (co-)applicants. Annex B (the partnership agreement) only has to be provided in case of an application on behalf of a consortium. As a partnership with implementing partners is not the same as a consortium, in case only of a partnership with implementing partners the applicant does not have to hand in annex B.

WHAT

Q: Under criterion D.7.b, thematic requirement II goes as follows: "education and integrated provision of other services for refugees and host communities." Can 'other services' include health services such as provision of hearing aids and mobility aids for school children and provision of occupational therapies for people with disabilities be eligible?

A: The term 'other services' refers to different kind of services that can benefit refugees and host communities, and meet the needs of refugees and host communities. You can think of public and basic services and infrastructure such as waste management, water facilities or sanitation. Health services are considered to form a part of public services and may therefore be understood as fulfilling thematic requirement II. Activities should help to realise the goals of the Ministry of Foreign Affairs as set out in the results framework (annex i).

Q: We are hoping to partner with a Dutch research Institute for the research component of the project. Is there is restriction on the amount of budget that could be used for research activities in the project?

A: There are no restrictions when it comes to types of activities as long as the proposed activities fulfil the criteria as stated under chapter 5, paragraph 5.2 (threshold criteria concerning the activity) in the Migration and Development 2019-2022 Grant Policy Framework.

Q: If our proposal concerns multiple countries, can the start-date be different within the set timeframe or must activities in all countries have to start and end the same date?

A: Different start and end-dates within the set timeframe are allowed. If proposals concern multiple countries, the activities in different countries must link to each other. This implies that differences in start and end dates of the activities must be argued for in a convincing manner.

Q: Outcome 1.2b does not have a medium term outcome linked to it. Can we create an option medium-term outcome for this?

A: As long as explicit reference is made to the outcomes and outputs/indicators of the Ministry of Foreign Affairs, additional medium-term outcomes are allowed. The applicant should make clear to which of the Ministry's outcomes and outputs/indicators he/she is referring (e.g. by making use of the numbering).

Q: Outcome 1.3a has medium term outcomes linked to only self-employment. If we want to work on employment only and not self-employment, can we create our own medium-term outcome?

A: As long as explicit reference is made to the outcomes and outputs/indicators of the Ministry of Foreign Affairs, additional medium-term outcomes are allowed. The applicant should make clear to which of the Ministry's outcomes and outputs/indicators he/she is referring (e.g. by making use of the numbering).

Q: Considering the rapidly worsening situation in Cameroon, and the urgent needs for support with regard to fulfilling basic needs and the alleviation of poverty, may our organisation still submit an application despite the fact that Cameroon is not listed as a target country?

A: Only activities focussing on countries listed under criterion D.6 are eligible for funding.

FINANCING

Q: In the Amending Act, the following is stated: 'Overhead costs will be assessed on the basis of the final proposal, based on the actual costs to be incurred, which may depend on the nature and scale of the proposed programme. Grants are awarded solely to cover costs of the planned activities that are necessary in light of the objectives and results envisaged, in so far as it cannot reasonably be expected that such costs can be funded from the applicant's own resources or other sources.' Does this imply that administrative costs (overhead costs) may not be eligible for funding?

A: Overhead costs are only eligible for funding if they are proportionate with the overall budget, and if it cannot reasonably be expected that such costs can be funded from the applicant's own resources or other sources. Please consider annex ii for further information on what costs may be considered as overhead costs.